



Practitioner's Docket No. **MPI03-043P1RNM**

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:	Hepperle, Michael E., et al.		
Application No.:	10/821,545	Group No.:	1614
Filed:	April 9, 2004	Examiner:	Not Yet assigned
For:	BETA-CARBOLINES USEFUL FOR TREATING INFLAMMATORY DISEASE		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
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1. The information disclosure statement submitted herewith is being filed:
- ☒ Within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

OR

☐ *After* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under Section 1.113,
- (2) a notice of allowance under Section 1.311, or
- (3) an action that otherwise closes prosecution in the application

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I hereby certify that, on the date shown below, this correspondence is being:

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Date: November 23, 2004


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☐ Accompanying this transmittal is the fee set forth in 37 C.F.R. Section 1.17(p) for submission of an information disclosure statement under Section 1.97(c). (\$180.00).

☒ Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(1).

☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).

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2. ☐ The fee due is set forth in 37 C.F.R. Section 1.17(p) for submission of an information disclosure statement under Section 1.97(c) (\$180.00).

☒ Applicant believes no fee is due in connection with this submission.

Fee due \$0.00

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3. ☐ Attached is a check in the amount of \$ _____ .
☐ Charge Account No. 501668 in the amount of \$0.00 .
☐ A duplicate of this request is attached.

If any additional fees are due, please charge Account 501668.

November 23, 2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/821,545
Filing Date	April 9, 2004
First Named Inventor	Michael E. Hepperle
Group Art Unit	1614
Examiner Name	Not yet assigned
Attorney Docket Number	MPI03-043P1RNM

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/Kristin Bianchi/	Date Considered	08/20/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449B/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>				Complete if Known	
				Application Number	10/821,545
				Filing Date	April 9, 2004
				First Named Inventor	Michael E. Hepperle
				Group Art Unit	1614
				Examiner Name	Not yet assigned
Sheet	Attorney Docket Number	MPI03- 043P1RNM	1	Attorney Docket Number	MPI02-162P1RM

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.		T ²	
/K.B./	C1	CASTRO, Alfredo C. et al., "Novel IKK Inhibitors: β -Carbolines," <i>Bioorganic & Medicinal Chemistry Letters</i> , Vol. 13, No. 14 (2003), pp 2419-2422			
/K.B./	C2	HOTTELET, M. et al., "Development of I κ B Kinase Inhibitors as Anti-Inflammatory Therapeutics," <i>Inflammation Research</i> , Birkhaeuser Verlag, Vol. 49, No. Supplement 2 (Sept. 24, 2000), p S91 (Abstract)			
/K.B./	C3	LEE, Michael et al., "Down-Regulation of Protein Kinase C in Murine Splenocytes: A Potential Mechanism for 2-Acetylaminofluorene-Mediated Immunosuppression," <i>Cancer Letters</i> , Vol. 101, No. 1 (1996), pp 53-57			
/K.B./	C4	PALANKI, Moorthy S.S., "Inhibitors of AP-1 and NF- κ B Mediated Transcriptional Activation Therapeutic Potential in Autoimmune Diseases and Structural Diversity," <i>Current Medicinal Chemistry</i> , Vol. 9, No. 2 (Jan. 2002), pp 219-227			
Examiner Signature	/Kristin Bianchi/			Date Considered	08/20/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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